



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Douglas E. Hudson, et al. Examiner: not yet assigned  
Serial No.: 10/617,200 Group Art Unit: 2819  
Filed: July 10, 2003  
Title: WORKPIECE CHUCK WITH TEMPERATURE CONTROL  
ASSEMBLY HAVING SPACERS BETWEEN LAYERS PROVIDING  
CLEARANCE FOR THERMOELECTRIC MODULES

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

August 5, 2003  
Date

Lisa Sanders  
Lisa Sanders

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Douglas E. Hudson, et al.  
U.S. Serial No.: 10/617,200

Statement Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☒ The concise explanation requirement under 37 CFR 1.98(a)(3)(i) for reference AM is satisfied by the English-language abstract attached to the reference.
- ☒ The concise explanation requirement under 37 CFR 1.98(a)(3)(i) for reference AN is satisfied by the enclosed U.S. patent number 5,220,277, reference AB.

It is requested the information disclosed herein be made of record in this application.


Applicant(s): Douglas E. Hudson, et al.  
U.S. Serial No.: 10/617,200

Method of payment:

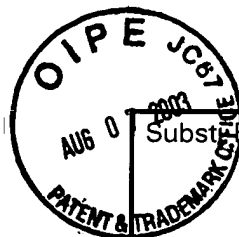
- ☐ Enclosed is a check in the amount of \_\_\_\_\_.
- ☐ Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

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Sheet 1 of 1

Subst. Form PTO-1449

Docket Number (Optional)

TMP-0031CIP

Application Number

10/617,200

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Applicant

Douglas E. Hudson, et al.

Filing Date

July 10, 2003

Group Art Unit

not yet assigned

(Use several sheets if necessary)

## U. S. Patent Documents

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,104,203	8/15/00	Costello, et al.	324	760	5/16/96
	AB	5,220,277	6/15/93	Reitinger	324	158	3/25/92
	AC	4,685,853	8/11/87	Roshala	414	404	9/2/86
	AD	4,667,522	5/26/87	Kawahara	73	865.6	11/1/85
	AE	5,584,183	12/17/96	Wright, et al.	62	3.7	6/7/95
	AF	5,613,364	3/25/97	Higgins	62	3.2	10/6/95
	AG	6,134,892	10/24/00	Turner, et al.	62	3.2	2/3/99
	AH	4,493,939	1/15/85	Blaske, et al.	136	212	10/31/83
	AI	6,378,321 B1	4/30/02	Epstein, et al.	62	264	3/2/01
	AJ	5,640,852	6/24/97	Atlas	62	3.7	10/6/95
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL	EP 0743530	20-11-96	Europe	G01R	31/28		
	AM	EP 0470459	26-07-91	Europe	F04C	29/10		X
	AN	EP 0505981	24-03-92	Europe	G01R	31/26		X
	AO	WO 01/01460	4-1-01	PCT	H01L	21/00		
	AP							
	AQ							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

L&amp;K Subst. PTO-1449 (1-94)

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